



Section #5 46-07  
26X1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of  
ISAO YAMADA  
Appln. No.: 09/610,773  
Filed: July 6, 2000  
For: INFORMATION PROCESSING  
APPARATUS, INFORMATION  
PROCESSING METHOD AND A  
MEDIUM

Group Art Unit: 2334  
Examiner: Unknown  
SECOND REQUEST FOR  
CORRECTION OF THE  
OFFICIAL FILING RECEIPT  
2001 Ferry Building  
San Francisco, CA 94111  
415/433-4150

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Commissioner for Patents, Washington, D.C. 20231,  
on October 19, 2000.

LIMBACH & LIMBACH L.L.P.

Date: 10/19/00

By: Ta-Tanisha L. Moore  
Name: Ta-Tanisha L. Moore

BOX MISSING PARTS  
Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of a corrected Official  
Filing Receipt which was received in our offices on  
October 17, 2000 in connection with the above-  
identified application.

The corrected Official Filing Receipt is in  
error. Please amend the receipt as shown in red ink  
on the attached copy as follows:

Please correct the Foreign Application Number to  
read: P11-201256.

PATENT

A Request for Correction of Official Receipt was previously filed on September 29, 2000 requesting this correction. However, the correction is not reflected on the corrected filing receipt. (Copies of the request and return postcard date-stamped October 3, 2000 by the PTO is enclosed for your reference.)

Please forward the amended Official Filing Receipt to:

Seong-Kun Oh  
Limbach & Limbach L.L.P.  
2001 Ferry Building  
San Francisco, CA 94111

In the event it is determined that a fee pursuant to 37 C.F.R. § 1.19(h) is required in connection with this request, the Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

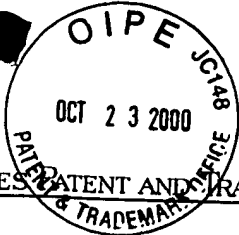
Date: 10/19/00

By: 

Seong-Kun Oh  
Recognition Under 37  
CFR §10.9(b)

Attorneys for Applicant(s)

Attorney Docket No. SONY-T0866 (S00P0866US00)



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20531  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	INTL CLAIMS
09/610,773	07/06/2000	2714	1054	SONY-T0866	6	20	6

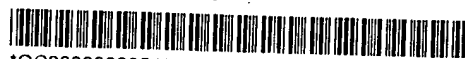
 Charles P. Sammut Esq. *SKO*  
 Limbach & Limbach LLP  
 2001 Ferry Building  
 San Francisco, CA 94111-4262

RECEIVED

OCT 17 2000

LIMBACH &amp; LIMBACH LLP

## FILING RECEIPT



\*OC000000005475224\*

Date Mailed: 10/16/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Isao Yamada, Tokyo, JAPAN;

PRIOR ART STATEMENT DUE:           /          

## Continuing Data as Claimed by Applicant

FOREIGN FILING LETTER DUE:           /          

## Foreign Applications

JAPAN 11-201256 07/15/1999  
P11-201256FOREIGN FILING DEADLINE:           /          18 MONTH SUSPENSE DATE: 11/6/02

If Required, Foreign Filing License Granted 09/07/2000

*on cd*

## Title

Information processing apparatus, information processing method and a medium

## Preliminary Class

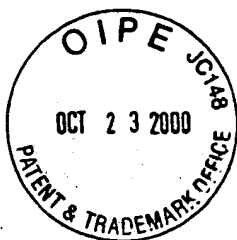
348

Data entry by : MASON, JAMES

Team : OIPE

Date: 10/16/2000

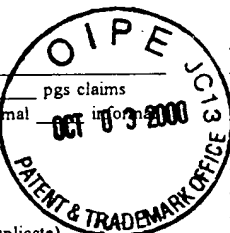




Serial/Patent/Reg. No. 09/610,773 L&L File No. SONY-T0866 By: CPS  
In the Matter of the Application of: Isao Yamada  
Title/Mark: INFORMATION PROCESSING APPARATUS, INFORMATION PROCESSING....  
Date Mailed: September 29, 2000 Due Date: \_\_\_\_\_

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

<input type="checkbox"/> Transmittal Letter	<input type="checkbox"/> Trademark (Use) Appln., Drawing and _____ Specimens
<input type="checkbox"/> Patent Application	<input type="checkbox"/> ITU Trademark Application and Drawing
<input type="checkbox"/> _____ pgs. specification including _____ pgs. claims	<input type="checkbox"/> _____ Request for Extension of Time (trademark)
<input type="checkbox"/> Drawings _____ sheets _____ formal _____	<input type="checkbox"/> (Notice of Allowance: _____)
<input type="checkbox"/> Oath/Declaration	<input type="checkbox"/> Statement of Use/Amendment to Allege Use
<input type="checkbox"/> Assignment w/ Form PTO-1595	<input type="checkbox"/> Section 8 & 15 Declaration
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Trademark Renewal Application and _____ Specimen
<input type="checkbox"/> Small Entity Declaration	<input type="checkbox"/> Opposition to _____
<input checked="" type="checkbox"/> Deposit Account Authorization (in duplicate)	<input type="checkbox"/> Petition for Cancellation
<input type="checkbox"/> Information Disclosure Statement, PTO-1449 w/ _____ references	<input checked="" type="checkbox"/> Certificate of Mailing
<input type="checkbox"/> Check \$ _____	<input type="checkbox"/> Express Mail Certificate No. _____
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Certificate under §3.73(b)
<input type="checkbox"/> Request for Extension of Time (____ months)(patent)	<input type="checkbox"/> Certificate of Correction (PTO Form 1050)
<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Petition for _____
<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Appeal Brief (in triplicate)	<input checked="" type="checkbox"/> Other: <u>REDLINED COPY OF OFFICIAL</u>
<input type="checkbox"/> Letter to Official Draftsman	<input type="checkbox"/> <u>FILING RECEIPT</u>
<input type="checkbox"/> Issue Fee Transmittal	<input checked="" type="checkbox"/> <u>POSTCARD</u>
<input type="checkbox"/> Maintenance Fee Transmittal	
<input checked="" type="checkbox"/> Request for <u>REQUEST FOR CORRECTION OF THE OFFICIAL FILING RECEIPT</u>	
	<u>(IN DUPLICATE)</u>



RECEIVED  
NOV 03 2000  
Technology Center 2100



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/610,773	07/06/2000	2714	924	SONY-T0866	6	20	6

## FILING RECEIPT



\*OC000000005382517\*

RECEIVED  
NOV - 3 2000  
2700 MAIL ROOM

*SKO*  
Charles P. Sammut Esq.  
Limbach & Limbach LLP  
2001 Ferry Building  
San Francisco, CA 94111-4262

Date Mailed: 09/07/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Isao Yamada, Tokyo, JAPAN;

## Continuing Data as Claimed by Applicant

## Foreign Applications

JAPAN 11-201256 07/15/1999

P11-201256

If Required, Foreign Filing License Granted 09/07/2000

PRIOR ART STATEMENT DUE: \_\_\_\_\_

FOREIGN FILING LETTER DUE: \_\_\_\_\_

FOREIGN FILING DEADLINE: \_\_\_\_\_

18 MONTH SUSPENSE DATE: 1/6/02

*on cal*

## Title

Information processing apparatus, information processing method and a medium

## Preliminary Class

348

Data entry by : MONROE, BEULAH

Team : OIPE

Date: 09/07/2000






BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Seong-Kun Oh is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Limbach & Limbach, L.L.P., to prepare and prosecute patent applications in which the patent applicant is a client of the law firm of Limbach & Limbach, L.L.P., and a registered practitioner, who is a member of the law firm of Limbach & Limbach, L.L.P., is the attorney of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Seong-Kun Oh ceases to lawfully reside in the United States, (ii) Seong-Kun Oh's employment with Limbach & Limbach, L.L.P., ceases or is terminated, or (iii) Seong-Kun Oh ceases to remain or reside in the United States and to be authorized to be employed by an Employment Authorization Card issued pursuant to 8 CFR § 274a.12(c)(9).

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: May 15, 2001**

  
\_\_\_\_\_  
Harry I. Moatz, Director  
Office of Enrollment and Discipline

RECEIVED  
NOV 3 2000  
TC 270 MAIL ROOM



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	Group Art Unit: 2714
ISAO YAMADA	)	Examiner: Unknown
Appln. No.: 09/610,773	)	REQUEST FOR CORRECTION
Filed: July 6, 2000	)	OF THE OFFICIAL FILING
	)	<u>RECEIPT</u>
For: INFORMATION PROCESSING	)	2001 Ferry Building
APPARATUS, INFORMATION	)	San Francisco, CA 94111
PROCESSING METHOD AND A	)	415/433-4150
MEDIUM	)	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 9/14/00.

LIMBACH & LIMBACH L.L.P.

Date: 9/14/00

By: Susan Ozanne  
Name:

SUSAN OZANNE

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the Official Filing Receipt which was received in our offices on September 14, 2000 in connection with the above-identified application.

The Official Filing Receipt is in error. Please amend the receipt as shown in red ink on the attached copy as follows:

Please correct the Foreign Application Number to read: P11-201256.

PATENT

Please forward the amended Official Filing  
Receipt to:

Charles P. Sammut  
Limbach & Limbach L.L.P.  
2001 Ferry Building  
San Francisco, CA 94111

In the event it is determined that a fee  
pursuant to 37 C.F.R. § 1.19(h) is required in  
connection with this request, the Commissioner is  
hereby authorized to charge payment of any fees  
associated with this communication or credit any  
overpayment to Deposit Account No. 12-1420. A  
duplicate copy of this sheet is enclosed.

Respectfully submitted,  
LIMBACH & LIMBACH L.L.P.

Date: September 29, 2000

By: Charles P. Sammut  
Charles P. Sammut  
Reg. No. 28,901

Attorneys for Applicant(s)

Attorney Docket No. SONY-T0866 (S00P0866US00)

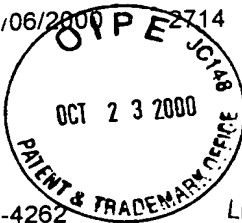




## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/610,773	07/06/2000	2714	924	SONY-T0866	6	20	6

SKO  
Charles P. Sammut Esq  
Limbach & Limbach LLP  
2001 Ferry Building  
San Francisco, CA 94111-4262

RECEIVED

SEP 14 2000

LIMBACH &amp; LIMBACH L.L.P.

## FILING RECEIPT



\*OC000000005382517\*

Date Mailed: 09/07/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Isao Yamada, Tokyo, JAPAN;

## Continuing Data as Claimed by Applicant

## Foreign Applications

JAPAN 11-201256-07/15/1999

P11-201256

If Required, Foreign Filing License Granted 09/07/2000

PRIOR ART STATEMENT DUE: \_\_\_\_\_

FOREIGN FILING LETTER DUE: \_\_\_\_\_

FOREIGN FILING DEADLINE: \_\_\_\_\_

12 MONTH SUSPENSE DATE: 1/6/02

mal

## Title

Information processing apparatus, information processing method and a medium

## Preliminary Class

348

Data entry by : MONROE, BEULAH

Team : OIPE

Date: 09/07/2000



file://C:\APPS\PreExam\correspondence\1\_A.xml

9/7/00